1 2 3	Effect of fire and thinning on fine-scale genetic structure and gene flow in fire-suppressed populations of sugar pine (<i>Pinus lambertiana</i> Douglas)							
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21 Abstract

22 Historically, frequent, low-severity fires in dry western North American forests were a major driver of ecological patterns and processes, creating resilient ecosystems dominated by 23 24 widely-spaced pine species. However, a century of fire-suppression has caused overcrowding, 25 altering forest composition to shade-tolerant species, while increasing competition and leaving 26 trees stressed and susceptible to pathogens, insects, and high-severity fire. Exacerbating the 27 issue, fire incidence is expected to increase with changing climate, while fire season has been 28 observed to begin earlier and last longer than historic trends. Forest thinning and prescribed fire 29 have been identified as important management tools to mitigate these risks. Yet little is known of 30 how thinning, fire, or their interaction affect contemporary evolutionary processes of constituent 31 pine species that influence fitness and play an important role in the opportunity for selection and 32 population persistence. We assessed the impact of widely used fuel reduction treatments and 33 prescribed fire on fine-scale gene flow on an ecologically important and historically dominant 34 shade-intolerant pine species of the Sierra Nevada, Pinus lambertiana Dougl. Treatment 35 prescription (no-thin-no-fire, thin-no-fire, and fire-and-thin) was found to differentially affect both 36 fine-scale spatial and genetic structure as well as effective gene flow in this species. Specifically, 37 the thin-no-fire prescription increases genetic structure (spatial autocorrelation of relatives) 38 between adults and seedlings, while seed and pollen dispersal increase and decrease, 39 respectively, as a function of increasing disturbance intensity. While these results may be specific 40 to the stands at our study site, they indicate how assumptions relating to genetic effects based on 41 spatial structure can be misleading. It is likely that these disequilibrated systems will continue to 42 evolve on unknown evolutionary trajectories. The long-term impacts of management practices on 43 reduced fitness from inbreeding depression should be continually monitored to ensure resilience 44 to increasingly frequent and severe fire, drought, and pest stresses.

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45 Introduction

Many aspects of conifer biology are affected by a tree's surrounding environment as well as the density of hetero- and conspecifics. For instance, outcrossing rates of conifer species are often tied to population density (Farris & Mitton 1984) and surrounding tree heights (O'Connell *et al.* 2003), while removal of proximal individuals can increase pollen and gene flow distances by reducing potential mates and removing once impeding vegetation. Thus, disturbance, *sensu lato*, has the potential to alter contemporary demographic and reproductive dynamics through both direct (population-level) and indirect (ecological-level) impacts (Mouillot *et al.* 2013).

53 Historically, natural disturbances such as fire were commonplace and equilibrated many 54 ecosystem functions and processes in forests of the western United States (Covington et al. 55 1994). Fire regimes in these regions had return intervals on decadal scales (10-17 years; North 56 et al. 2005), in contrast to wetter climates where fire return intervals were (sub)centennial (50+ 57 years [North et al. 2016]). Resultantly, these ecosystems experienced frequent, low-severity 58 burns and were populated by fire-adapted species, creating forests dominated by resilient, widely 59 spaced pine trees. Yet over the past 150 years, anthropogenic influence has resulted in forests 60 that are now fire-suppressed and overgrown by shade-tolerant species, causing increased 61 competition, leaving trees stressed and susceptible to fungal and bark beetle attacks (Bonello et 62 al. 2006).

63 Stand densification has also increased the frequency and probability of contemporary, 64 high-severity fires. Between 2012 and 2014 in California alone, 14,340 fires burned 1.1 million 65 acres and injured or killed nearly 300 individuals (NIFC 2014). Collectively, fires across California, 66 the Great Basin, Southwest, and Rocky Mountain territories have burned a combined 8.8 million 67 acres between 2014 and 2015 (NIFC 2015), while Forest Service scientists predict future fires to 68 reach unprecedented levels, covering over 12-15 million acres annually (USDA Forest Service 69 2016a) requiring the United States Forest Service (USFS) to budget \$2,300,000,000 on wildfire 70 management, suppression, and preparedness for the 2016 fiscal year (USDA Forest Service

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2016b). Exacerbating the issue, analyses of fire season length and onset have shown that seasons are beginning earlier and lasting longer than historic trends (Westerling 2006) while climate models predict extreme weather favorable to fire to become more frequent, and ignited fires to increase in severity, size, and required suppression efforts (Miller *et al.* 2009).

75 Because of these contemporaneous trends, large-scale forest thinning projects have been 76 implemented to simultaneously restore fire-frequent ecosystems to their pre-settlement resilience 77 as well as to protect urban development and human life, as fuel reduction treatments have been 78 shown to be an effective tool in decreasing fire severity and ignition probability (Agee & Skinner 79 2005; Schwilk et al. 2009; Safford et al. 2009). These thinning treatments are often applied by 80 determining DBH thresholds for cutting, and in some cases the density of leave-trees as well to 81 reduce overall fuel load and continuity. For example, the Sierra Nevada Forest Plan Amendment 82 (USDA Forest Service 2004) mandates that 50% of initial understory thinning treatments take 83 place near urban populations, while the remaining thinning take place in natural wildland stands. 84 To encourage fire resiliency the USFS has implemented fuel reduction treatments across 6.1 85 million acres of western, fire-suppressed forestland in 2014 (USDA Forest Service 2016a). 86 Further, forest and fire scientists are calling for an overhaul of management policy to implement 87 these thinning treatments to a far greater extent (North et al. 2015). While congruent with historic 88 forest structure, these actions will orient these already disequilibrated systems on trajectories of 89 unknown evolutionary consequence.

Through timber harvests, land use conversion, and fire suppression, forests have undergone systemic shifts in composition, structure, and disturbance regimes that are incongruous to the natural and evolutionary histories of endemic species (Collins *et al.* 2011; Larson & Churchill 2012). Consequentially, anthropogenic forest disturbance has been at the forefront of conservation attention for decades (Ledig 1988; 1992). The extent of human impact on forested land has received particular attention as a result of the empirical expectations developed from population genetic theory. Specifically, because of the reduction in individual tree

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97 density overall, and in particular for larger trees that asymmetrically contribute gametes to 98 reproduction (Richardson et al. 2014), harvested forests are thought to be specifically subjected 99 to population bottlenecks, potentially altering existing mating systems or available gene pools 100 while decreasing genetic variability within populations and increasing differentiation from native 101 stands (Smouse et al. 2001, Cloutier et al. 2006; Kramer et al. 2008; Lowe et al. 2015). These 102 consequences can influence the fitness of affected populations, as drastic changes in gene pool 103 availability or mating system alter a population's potential to adapt to local conditions, and 104 inbreeding depression can have deleterious effects on growth and reproductive output (e.g., 105 reproductive capacity or rates of embryo abortion; Williams & Savolainen 1996; Sorensen 2001; 106 Savolainen et al. 2007; Savolainen & Pyhäjärvi 2007).

107 Past studies investigating the genetic effects of North American forest management show 108 mixed evidence of harvest influence. These studies often sub-sample populations and primarily 109 focus on diversity consequences across a range of molecular markers (often microsatellites). 110 Many management studies of North American conifers compare genotypic diversity indices (e.g., 111 H_{E} , H_{O} , allelic richness, etc.) between treatments to detect management influence (Cheliak et al. 112 1988; Gömöry 1992; Buchert et al. 1997; Adams et al. 1998; Rajora et al. 2000; Macdonald et al. 113 2001; Perry & Bousquet 2001; Rajora & Pluhar 2003; El-Kassaby et al. 2003; Marguardt et al. 114 2007; Fageria & Rajora 2013a; b). However, the same diversity values can manifest under 115 completely different scenarios and tests of significance between population values for a small 116 number of markers may therefore be under-informative, particularly for sub-sampled populations, 117 as these differences can result from sampling bias or from evolutionary processes unrelated to 118 management. Additionally, these investigations also often employ F_{ST} analyses to assess 119 statistical significance between treated and untreated stands (Thomas et al. 1999; Perry & 120 Bousquet 2001; Marguardt et al. 2007; Fageria & Rajora 2013a; b). Though when used in this 121 context, this test is simply signifying whether the allelic frequencies in (sub)populations under 122 study are likely to have been sampled from the same ancestral population (Holsinger & Weir

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123 2009). Very often, the treated and untreated stands are physically adjacent (derived of a common 124 ancestral population) and only under extreme perturbation should significance be expected. In 125 cases where significance is detected, and other than to assess relative diversity between stands, 126 such differentiation does little to inform how management is affecting ongoing evolutionary 127 processes affecting fitness, as such processes may ameliorate bottlenecks due to management. 128 It would therefore be difficult to draw such conclusions without assessing other stand and 129 evolutionary dynamics.

130 Very seldom in North American studies of forest management are evolutionary processes 131 influencing fitness specifically examined (but see Neale & Adams 1985). Yet when studies are 132 done and nonsignificant findings are found, authors generally caution interpretation (Finkeldey & 133 Ziehe 2004; Namroud et al. 2012). Very often the scale of sampling (both in terms of numbers 134 and spatial extent of individuals and the degree of temporal variation), as well as the lack of 135 investigation into evolutionary dynamics have been offered as inadequate, and that further 136 investigation into evolutionary consequences of natural and anthropogenic disturbance could give 137 valuable insight to forest managers and fill a vital knowledge gap in this regard (Namroud et al. 138 2012). Indeed, incongruence between theoretical predictions and empirical results from studies 139 evaluating genetic consequences of forest disturbance has created a paradox within the literature 140 (Kramer et al. 2008). Yet as Lowe et al. (2015) point out, we may have been looking in the wrong 141 place. They argue that instead of simply assaying mature cohorts to understand the genetic 142 consequences of disturbance, future attention should include progeny arrays as well as the 143 relative regenerative success across a wide range of influences. Additionally, they contend that 144 the type and magnitude of the genetic response itself may be better understood through the 145 variation in mating and breeding systems of studied species. Of particular importance, Lowe et 146 al. (2015) advise scientists that the most fruitful research endeavors will incorporate quantitative 147 approaches to understanding evolutionary mechanisms, specifically those connecting changes in

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pollination to mating systems and evolutionary fitness, and that these efforts will likely generatecritical knowledge regarding the mechanisms driving the dynamics we observe.

150 Interactions between fire and forest thinning management are certain. To ensure forests 151 are resilient to frequent fire and disturbance, and provide habitat for public recreation and native 152 wildlife, the interactive impact of management and fire must be understood in an evolutionary 153 framework. Here, we investigated the evolutionary impact of forest management on fire-154 suppressed populations of the historically dominant and ecologically important sugar pine (Pinus 155 lambertiana Dougl.) within Teakettle Experimental Forest (TEF), a USFS site located in the central 156 Sierra Nevada of California. Using microsatellite markers, we employ parentage analysis and 157 assess impact upon various processes known to affect fitness such as mating patterns, effective 158 dispersal distances, and fine-scale (<300m) genetic structure. We tested hypotheses relating to 159 effective dispersal distances of pollen and seed, the relative spatial and genetic structure of tree 160 classes (size/age and species) within treatments, and for differences in these measures across 161 treatments. Although the genetic structure of adults is due to an interaction between the 162 evolutionary history of the stand and the applied treatment, mating patterns and seedling 163 recruitment will determine long-term impacts of management. Our results show that thinning alone 164 increases fine-scale genetic structure (i.e., spatial autocorrelation of relatives), and that the 165 majority of pollen and seed dispersal take place at the same scale. While effects of such 166 treatments will vary by location, the degree of thinning and the choice of leave-trees should be 167 tailored to a given stand, and spatial structure (arrangement of individuals across the landscape) 168 should not be conflated with spatial genetic structure (arrangement of relatives across the 169 landscape). By avoiding treatments that increase genetic structure, managers may be able to 170 decrease seed abortion due to inbreeding and thus increase effective seed rain of species with 171 management importance.

3.206e (A) (B) х-итм 3.204e+05 34 3.202e+05 wmill 3.2e+05 3.198e+05 be2 3.196e+05 elevation (m) ins? 36 2150 4.093e+0 Y-UTM 2100 4.092e+ un3 us2 4.092e+06 2050 41 uc3 ust ue2 4.092e+06 2000 44 ARE 43 42 39ucl un2 Cabin X Gaging 5 bn3 Garage bc1 46 bn2 bs2 Jun't 49 bs 1 mi

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172 173

Figure 1 Teakettle Experimental Forest, California (Latitude: 36.9606, Longitude: -119.0258). (A) Topographic map and spatial arrangement of treatments (BC = burned understory thin; BN = burned no-thin; BS = burned shelterwood thin; UC = unburned understory thin; UN = unburned no-thin; US = unburned shelterwood thin). Replicates for each treatment are numbered one through three from south to north. (B) Mapped coordinates (Universal Transverse Mercator) and elevation (meters) of pre-treatment adults ≥ 5cm diameter at breast height. (green: P. lambertiana, red: P. jeffreyi, gray: A. concolor, blue: A. magnifica, orange: C. decurrens, black: Quercus, Salix, and remaining species.).

- 180
- 181 Methods

182 Study area, sampling, and focal species

183 Teakettle Experimental Forest (TEF) is a fire-suppressed, old-growth forest watershed in 184 the central Sierra Nevada mountains of California. The 1300-ha watershed ranges from 1900-185 2600m in elevation and consists of five conifer species: white fir (Abies concolor [Gordon] Lindley 186 ex Hildebrand), red fir (A. magnifica A. Murray), incense cedar (Calocedrus decurrens [Torr] 187 Florin), Jeffrey pine (Pinus jeffreyi Balf.), and sugar pine (P. lambertiana). Historically, fire burned 188 the area every 11-17 years, but has been suppressed for 135 years (North et al. 2005) while 189 logging had been completely absent (North et al. 2002). Six treatments were applied to 190 neighboring 4-ha plots (each 200m x 200m, Figure 1a) by crossing two levels of burn (no-fire and 191 fire) with three levels of thinning (no-thinning, overstory-thinning, and understory-thinning). The 192 understory thinning prescription followed guidelines in the California spotted owl (CASPO) report

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193 (Verner et al. 1992), which is now widely used for fuel management in California (SNFPA 2004). 194 We therefore focus our analyses on untreated stands and those treated with or in combination 195 with this understory thinning treatments (see below). Each treatment was replicated three times 196 (18 plots covering 72ha). Understory-thinning removed all trees with a diameter at breast height 197 (DBH) ≤76cm and ≥25cm, while overstory-thinning removes all trees >25cm DBH except 18-22 198 of the largest trees per hectare. Treatments were applied over 2000 and 2001. Plot inventories of 199 pre-treatment (1999), and post-treatment (2004 and 2011) conditions mapped individual trees on 200 a 3D coordinate system (colored dots, Figure 1b). Only standing boles ≥5cm DBH were included 201 in plot inventories, which recorded species, DBH, spatial coordinates, decay class, and forest 202 health metrics (e.g., presence/absence of insects and pathogens). Post-treatment inventories 203 updated these metrics, and added individuals to the dataset once they reached 5cm DBH. Here, 204 seedling and saplings are all pine stems <5cm DBH. For these, basal diameter and spatial 205 coordinates were recorded over the summers of 2012 and 2013 while collecting needle tissue 206 samples from the full census of all live P. lambertiana (N = 3,135). Pinus lambertiana is a 207 historically dominant member of mixed-conifer forests of the Sierra Nevada, and continues to play 208 important ecological roles. This species is shade-intolerant and is an important focus of 209 restoration in the Sierra Nevada range.

210 Analysis of tree spatial structure

211 Using plot-level *P. lambertiana* individuals, we estimated spatial structure of seedlings and 212 adults across 10-meter distance classes, r, separately using univariate inhomogeneous pair 213 correlation functions $(g_{inhom}(r))$ from the spatstat library (Baddeley et al. 2015) with an 214 isotropic edge correction. This statistic was chosen over Ripley's K, or its linearized version (L), 215 because of advocacy for $g_{inhom}(r)$ over these statistics (see spatstat manual). This analysis 216 tests the null hypothesis that the 2D spatial arrangement of points (adults or seedlings) is not 217 significantly different from complete spatial randomness (CSR; i.e., a Poisson distribution of inter-218 point distances with inhomogeneous intensities of points), where support for the alternative

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219 hypothesis is indicative of ecological factors driving spatial patterning. We calculated null 220 confidence envelopes for each test using 199 null simulations of CSR using the same intensity of 221 the pattern of individuals analyzed (equivalent to an alpha value of 0.01; see spatstat manual). 222 For trees that coincide with the null model of CSR, $g_{inhom}(r) = 1$, with spatial aggregation 223 $g_{inhom}(r) > 1$, and with spatial inhibition $g_{inhom}(r) < 1$ (Baddeley *et al.* 2015); significance was 224 judged using the null confidence envelopes. We repeated this analysis for the dominant shade-225 tolerant individuals (all Abies individuals). We extended the univariate function to its bivariate 226 equivalent, $g_{inhom,i,i}(r)$, to test for spatial affinity between two groups i and j, using similar methods as above for edge correction and null confidence envelopes. We calculated $g_{inhom,i,i}(r)$ 227 228 between unique combinations of P. lambertiana adults, P. lambertiana seedlings, and shade-229 tolerant *Abies* individuals. Hypothesis testing and interpretation of bivariate $g_{inhom.i.i}(r)$ was 230 carried out as with univariate $g_{inhom}(r)$. Results from these analyses allow comparison of 231 standing spatial structure against spatial genetic autocorrelation (see below), to make inferences 232 about the ecology of these species, and how treatments at TEF are affecting ongoing evolutionary 233 dynamics.

234 **DNA extraction, microsatellite amplification**

235 Total genomic DNA was extracted according to manufacturer's protocol using the DNeasy 236 96 Plant Kit (Qiagen, Germantown, MD) from P. lambertiana samples within a subset of the 237 factorial treatments at TEF: unburned-no-thin control plots (hereafter UN), understory-thin 238 (CASPO) plots without burn application (hereafter UC), and burned understory-thin plots 239 (hereafter BC) for a total of 1,348 individuals. Herein, we often refer to patterns across these 240 treatments in terms of increasing disturbance intensity (i.e., from UN to UC to BC). Three 241 chloroplast (paternally inherited, Wofford et al. 2014: pt71936, pt87268, pc10) and four nuclear 242 (biparental inheritance, Echt et al. 1996: rps50, rps02, rps12, rps39) microsatellite markers were 243 amplified (using fluorescent dyes NED, PET, VIC, and FAM) per the original publications with

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244 minor modifications using BIO-RAD iProof high fidelity DNA polymerase (see Supplemental 245 Information). The chloroplast markers were chosen for their primer conservation across *Pinus*, 246 Trifoliae, Parrya, and Quinquifolia subsections of the Pinus genus (Wofford et al. 2014) while the 247 chosen nuclear markers have been amplified in eastern white pine (P. strobus L., Echt et al. 1996) 248 and both sets successfully amplified on a subset of individuals at TEF judged by gel 249 electrophoresis. Multiplexed individuals (one fluorescent dye per well) were analyzed using the 250 Applied Biosystems 3730xl fragment analyzer Cornell University at 251 were called using (http://www.biotech.cornell.edu/brc/genomics-facility) and genotypes 252 GeneMaker v2.6.7 (see Supplemental Info; http://www.softgenetics.com/GeneMarker.php).

253 Genetic diversity measures

254 Treatment-specific diversity measures (total number of alleles, A_{T} ; mean number of alleles 255 per locus, A; effective number of alleles per locus, A_e ; observed and expected heterozygosity for 256 nuclear markers, respectfully H_0 , H_e ; average number of private alleles, A_P ; and overall means for 257 each category) were calculated for each treatment and averaged across loci in order to compare 258 dynamics at TEF to published studies. For estimates of H_{\circ} and H_{e} , only nuclear markers were 259 used. To quantify variation we report standard deviation. We calculated hierarchical multi-locus 260 F_{ST} (Weir & Cockerham 1984) for nuclear markers using the hierfstat package (Goudet & 261 Jombart 2015) and treatment-specific F_{ST} to compare across treatments. Single- and multi-locus 262 exclusion probabilities for parentage analysis (see below) were calculated using python scripts 263 modified from gstudio (v1.5.0; Dyer 2016).

264 Analysis of spatial genetic structure

To quantify spatial genetic autocorrelation at a distance class *h* (hereafter r_g^h), we used multi-locus genetic distances (Smouse & Peakall 1999) and Euclidean geographic distances among spatial coordinates of individuals across distances classes *h* corresponding to approximately 10-meter bins for *P. lambertiana* seedlings, *P. lambertiana* adults, as well as a bivariate approximation for the clustering of *P. lambertiana* adult genotypes to those of seedlings.

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270	For a distance class, h, spatial patterning of multi-locus genotypes are unrelated to (i.e., random
271	relative to) the spatial patterns of individuals if $r_g^h = 0$, aggregated if $r_g^h > 0$, and dispersed if $r_g^h < 0$
272	0. We estimated null confidence intervals by taking the 2.5 th and 97.5 th quantiles of $M = 1000$
273	estimates of $r_{g,m}^h$, where 999 of these estimates were computed by randomly permuting individual
274	genotypes across empirical spatial coordinates, with the M^{th} permutation being the empirical
275	estimate of r_g^h itself (Smouse & Peakall 1999). Using the PopGenReport package (Adamack &
276	Gruber 2014) we created correlograms for nuclear and chloroplast markers both in isolation and
277	in combination, but present only those using full genotypes as correlograms by marker type
278	showed similar patterns as full genotypes. We used these correlograms to compare and
279	contextualize treatment-level genetic structure with ongoing evolutionary dynamics such as that
280	of fine-scale gene flow.

281 Parentage analysis

282 To quantify fine-scale gene flow at TEF, we conducted parentage analysis using our 283 genetic markers and spatial coordinates of individuals. Joint estimation of parentage and dispersal 284 parameters of seed and pollen were achieved by expanding methods of Moran & Clark (2011). 285 This method simultaneously estimates parentage and dispersal kernel parameters for seed and 286 pollen within a Bayesian framework, taking into account genotyping error and variation in 287 individual fecundity while treating dispersal processes inside and outside of the mapped areas in 288 a coherent manner, which is critical if the dispersal kernel is to reflect both long- and short-289 distance movement. Here, all sampled adults are characterized by their genotype and mapped 290 coordinate. Additionally, for seedlings there is also an estimated pedigree, which can consider 291 any adult as either mother or father (though we excluded possible selfing events). The probability 292 of the pedigree considering two sampled parents, before incorporating information regarding 293 genotype, is estimated from the probability of pollen-to-mother movement over the given distance 294 and of seed movement over the distance between mother and seedling, as well as the parental 295 prior distribution for fecundity. Pollen production was considered proportional to fecundity (as in

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296 Moran & Clark 2011) and was estimated by fitting a 2nd-order power polynomial regression to data 297 from Figure 6 in Fowells & Schubert (1956) where Cone Count = $0.0098(dbh^2) - 0.4811(dbh) +$ 298 10.651. We then set fecundity for all adults <25cm DBH to zero given observed cone counts from 299 Fowells & Schubert (1956). For dispersal priors, we set the seed dispersal kernel shape 300 parameter, u_s, to 253.31, (a mean dispersal distance of 25m; Millar et al. 1992; Fowells & 301 Schubert 1956) and the pollen dispersal kernel shape parameter prior, $u_{\rm p}$, to 2279.72, (a mean 302 pollen distance of 75m; Wright 1976; Neale 1983; Millar et al. 1992). For priors to the standard 303 deviation of mean dispersal we set seed (pollen) to 1013.21 (9118.90) corresponding to standard 304 deviations of 50m (75m).

305 Given that either parent could have produced the offspring, the likelihood that this pair is 306 the true parents relative to all other possible parent pairs depends on the dispersal kernel priors 307 for seed and pollen, and the seed and pollen production of all trees both inside and outside of the 308 plot (the fraction of all possibilities; Moran & Clark 2011). To evaluate the probability of an offspring 309 having one parent in the plot and the other outside of the plot, a set of potential out-of-plot parent-310 densities, dp_1, \ldots, dp_{20} , each 10m progressively outside of the plot is considered (see figure S3.1 311 in Moran & Clark 2011). Pollen and seed movement into the plot is approximated by assuming 312 first that all seed/pollen produced within each quarter-polygon, ν , originates from a tree located 313 dp_n meters from the midpoint of each side outside of the plot. The expected out-of-plot pollen 314 (seeds) reaching an in-plot mother (a seedling's location) from each guarter-polygon outside of 315 the plot is calculated based on the average density and average fecundities of trees outside of 316 the plot and then multiplied by the probability of dispersal to the point within the plot. Summing 317 over each distance class gives the total expected out-of-plot pollen/seed dispersal to points inside 318 of the plot. However, to calculate the probability of an in-plot versus an out-of-plot father, the 319 expected pollen arriving at an out-of-plot mother from another out-of-plot father must first be 320 calculated using the concentric polygons around the sampled plot and the distance classes

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321 described above. The fraction of rings falling outside the plot determines the fraction of pollen 322 received from each distance class, dp_{ν} , expected to come from outside trees. Once error rates 323 (e_1) and dropout rates (e_2) of genotyping are calculated through regenotyping individuals (see 324 Supplemental Information), the probability of a pedigree, seed and dispersal parameters given 325 the offspring genotype, distances, error rates, and pollen/seed production can be estimated 326 (Moran & Clark 2011). Very rarely have previous studies investigating effects of forest 327 management (or using parentage analysis towards such goals) incorporated error and dropout 328 rates into subsequent inferences.

329 For the current study, out-of-plot densities were extrapolated from densities and DBH 330 distributions (our proxy for fecundity) revealed in pre-treatment surveys (North et al. 2002). Due 331 to the proximity of the treated plots, all adult trees were considered simultaneously for parentage 332 assignment. Additionally, instead of considering any given pedigree as symmetrical (i.e., with no 333 consideration for which tree was the pollen or seed donor) we utilize genotyped markers 334 separately to consider whether a given pedigree is for a mother-father pair, or for a father-mother 335 pair (i.e., we only considered nuclear markers for a potential mother, and all markers for a potential 336 father). The most probable pedigree for each seedling was identified by assessing the proportion 337 of the proposed pedigree across chains in the Gibbs sampler (as in Moran & Clark 2011), in which 338 we used 500,000 steps and a burn-in of 30,000. This method was further modified to improve 339 computational efficiency by multiprocessing appropriate elements of the script by utilizing custom 340 python scripts and the SNOW library (v0.4-2; Tierney et al. 2016) in R (v3.3.3; R Core Team 2017).

341 We replicated each run three times, and judged convergence within and between runs in R.

342 Using parentage analysis to further quantify fine-scale gene flow

In addition to estimates of the mean seed and pollen dispersal (see above), we used these parentage assignments to further classify fine-scale gene flow at TEF. Using the full set of most probable pedigrees, we quantified the number of in-plot vs. out-of-plot dispersal events averaged across replicates for a given treatment. Then, using the most probable parentage assignment for

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347 each offspring, we quantified mean dispersal distances from sampled mothers to seedlings, and 348 between sampled fathers to sampled mothers. To better account for uncertainty in parentage 349 assignment (i.e., to account for fractional parentage assignment), we calculated mean dispersal 350 distance by treatment by considering all pedigrees with known individuals weighted by the 351 probability of assignment. Specifically, for mean seed dispersal, for each seedling we calculated 352 the weighted average of mother-offspring distances across pedigrees of non-zero probability that 353 included known mothers in the dataset. Each weight was the probability of assignment, 354 $p_{seed, pediaree}$, divided by the probability of assignment of this seedling to a known mother (1 - U_M) 355 where U_M is the sum of the probabilities across all non-zero pedigrees that included an unsampled 356 mother. Treatment-level averages were then calculated across these weighted distances. For 357 pollen dispersal, for each seedling we considered only pedigrees of non-zero probability where 358 both the mother and father were known, weighting each distance by the probability of assignment, 359 $p_{seed, nediaree}$, divided by the probability of assignment to known parents (1- $U_{seed, nediaree}$) where 360 Useed.pediaree is the sum of the probabilities across all non-zero pedigrees that included at least 361 one unsampled parent. Treatment-level averages were then calculated from these weighted 362 distances and significance was determined using a Kruskal-Wallis test with an alpha value of 363 0.05.

364 Scripts used in analyses described above can be found in IPython notebook format (Pérez
365 & Granger 2007) at https://github.com/brandonlind/teakettle.

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366 **Results**

367 Analysis of tree spatial structure

368 Univariate Analysis

Across treatments, P. lambertiana adults exhibited spatial aggregation at distance classes 369 370 less than 20m, which decreased with increasing disturbance intensity with UN plots showing the 371 greatest magnitudes of $g_{inhom}(r)$ at these small distance classes (Figure 2 first row). For adult 372 shade-tolerant species (all Abies individuals), the extent of spatial aggregation at large distance 373 classes decayed with increasing disturbance intensity (Figure 2 second row) where UC generally 374 exhibited greater magnitudes of $g_{inhom}(r)$ than BC in small distance classes. For *P. lambertiana* 375 seedlings, spatial structure was similar across treatments, though UN generally had significant 376 aggregation and much larger magnitudes of $g_{inhom}(r)$ at larger distance classes than other 377 treatments, while BC seedlings exhibited greater magnitudes of $g_{inhom}(r)$ across small distance 378 classes than either UC or UN (Figure 2 third row).

379 Bivariate Analysis

The spatial affinity of *P. lambertiana* seedlings to *P. lambertiana* adults, $g_{inhom,seedling,adult}(r)$, decreased with intensity of disturbance (i.e., from UN, to UC, to BC). UN plots showed consistent inhibition across distance classes greater than 15m, whereas UC plots tended to align with the lower extent of the confidence interval with fewer instances of significant inhibition (Figure 3). A similar trend for increasing spatial inhibition between *P. lambertiana* seedlings and shade-tolerant adults ($g_{inhom,seedling,adult}(r)$), as well as for *P. lambertiana* adults and shade-tolerant adults

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Figure 2 Representative figures of univariate analysis of spatial structure, $g_{inhom(r)}$, by treatment replicate 391 for each distance class, r. First row: adult P. lambertiana (PiLa); second row: adult shade tolerant (A. 392 magnifica and A. concolor = ShadeTol); third row: P. lambertiana (PiLa) seedlings. Disturbance intensity 393 increases by column from left to right. These figures show that with increasing disturbance intensity there 394 is a diminution of the degree of spatial structure within classes. Gray : null confidence envelope; Solid black line : observed $g_{inhom}(r)$. Red dashed line : null expectation of complete spatial randomness, $g_{inhom}(r) = 1$. Individuals are aggregated if $g_{inhom}(r) > 1$, inhibited if $g_{inhom}(r) < 1$. See Supplemental Figures S1-S3 395 396 397 for all plots.

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400 401 Figure 3 Representative figures of bivariate analysis of spatial structure, $g_{inhom,i,j}(r)$, between: first row: P. 402 lambertiana seedlings (seed) and P. lambertiana adults; second row: P. lambertiana seedlings and shade 403 tolerant adults; third row: P. lambertiana adults to shade tolerant adults. Disturbance intensity increases by 404 column from left to right. These figures show that the two classes compared are generally inhibited spatially 405 by the presence of the other, and that with increasing disturbance there is a diminution of the degree of 406 spatial inhibition between classes. Gray : null confidence envelope; Solid black line : observed $g_{inhom,i,j}(r)$. 407 Red dashed line : null expectation of complete spatial random-ness, $g_{inhom,i,j}(r) = 1$. Individuals are 408 aggregated if $g_{inhom,i,j}(r) > 1$, inhibited if $g_{inhom,i,j}(r) < 1$. The gray shading in the third column of the first 409 row indicates the null confidence envelope extended beyond the limit of the y-axis, where the pattern of the 410 confidence envelope seen in the third column of the second and third rows is caused by sample size varying 411 among distance classes. It should be noted that the observed values for all comparisons generally fall below 412 the y = 1 expectation except for some short distance classes. See Supplemental Figures S4-S6 for all plots.

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 $(g_{inhom,PiLa-adult,shadetol}(r);$ Figure 3) where UN generally had a greater inhibition than UC or 413 414 BC, though BC exhibited evidence of spatial inhibition between groups. The results from the uni-415 and bivariate analyses of spatial patterns suggest that pines are generally clustered with other 416 pines, shade-tolerant individuals are clustered with other shade-tolerant individuals, but shade-417 tolerant adults generally show spatial inhibition with pine individuals of both classes. Additionally, 418 P. lambertiana seedlings showed similar clustering across all treatments, suggesting a similar 419 pattern of response to the environment. Further, together with the univariate spatial clustering of 420 P. lambertiana seedlings at small distance classes, these bivariate results suggest there may be 421 ecological drivers influencing realized patterns of seedlings across microenvironments (e.g., 422 perhaps sites with decreased competition for [or optimal levels of] water, nutrients, or light).

423 **Diversity measures**

To compare our results with those from the literature we calculated various genetic diversity measures (Table 1) that were most influenced by census size. For instance, census size increased from BC (n = 109 individuals) to UN (n = 557 individuals) to UC (n = 682 individuals) where related diversity measures of A_T , A, A_e , and A_P followed this trend. Observed heterozygosity was greatest for UN, followed by BC and UC, while expected heterozygosity decreased from UC to BC to UN (Table 1). Thus, no trend was observed between diversity measures and increasing disturbance treatment.

Hierarchical *F*-statistics were calculated with nuclear markers to compare the extent of fixation within and across treatments, with individuals nested in replicates, replicates nested in treatments, and treatments nested within TEF. The overall multilocus F_{ST} ($F_{rep,TEF}$) was 0.075, consistent with estimates of many *Pinus* species across various spatial scales (Howe et

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435	Table 1 Genetic diversity measures (standard deviation) by treatment. N: census number of individuals
436	[adults, seedlings]; A_T : total number of alleles; A: mean number of alleles per locus; A_e : effective number
437	of alleles (harmonic mean across loci); H_o , H_e : respectively the observed and expected heterozygosity for
438	nuclear markers; A_p : average number of private alleles. For A, A_e , H_o , and H_e , values indicate averages
439	across loci, where values for each locus were calculated across all three treatment replicates
440	simultaneously. H _o and H _e used only nuclear markers, whereas other genetic diversity columns considered
441	all loci.

Trea	tment	Ν	A_{T}	Α	A _e	H _o	H _e	$A_{\rm P}$
U	JN	557 [236,321]	180	25.71 (6.50)	3.23 (1.58)	0.87 (0.06)	0.77 (0.06)	46
U	JC	682 [307,375]	210	30.00 (7.76)	6.20 (3.07)	0.57 (0.30)	0.84 (0.10)	73
В	BC	109 [42,67]	107	15.29 (6.80)	4.80 (2.46)	0.82 (0.08)	0.82 (0.07)	5
Me	ean	449 [195,254]	165.67	23.67	4.74	0.75	0.81	41.3

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443 al. 2003), suggesting that the majority of genetic variation was partitioned more so within plots 444 than between plots. The $F_{rep,TEF}$ for individual markers varied: rps02 ($F_{rep,TEF}$ = 0.019), rps12 445 $(F_{rep,TEF} = 0.037)$, rps39 $(F_{rep,TEF} = 0.148)$, rps50 $(F_{rep,TEF} = 0.103)$. Considering only genotypes 446 across replicates of a given treatment, treatment-level estimates of $F_{rep,tx}$ also varied ($F_{rep,UN}$ = 447 0.011, $F_{rep,UC}$ = 0.109, $F_{rep,BC}$ = 0.035) but showed no pattern with increasing disturbance 448 intensity. Pairwise F_{ren.tx} comparisons between treatments were calculated by considering 449 genotypes across two treatments simultaneously and were used to compare the extent of fixation 450 across disturbance intensity. Here, the three comparisons ranged from 0.050 (UC and UN) to 451 0.055 (UC and BC) to 0.075 (BC and UN) indicative of increasing relative fixation with increasing 452 disparity for the intensity of disturbance for a given comparison.

453

Analysis of spatial genetic structure

454 Analysis of spatial genetic autocorrelation (sensu Smouse & Peakall 1999) was carried 455 out to better understand how treatment affects standing genetic structure (P. lambertiana adults 456 x P. lambertiana adults), how this standing genetic structure relates to the genetic structure of 457 seedlings (P. lambertiana seedlings x P. lambertiana seedlings), and the tendency of alike 458 genotypes to be aggregated or inhibited across the treatments as the stands continue to develop



465 Figure 4 Analysis of spatial genetic structure (sensu Smouse & Peakall 1999) between P. lambertiana 466 adults (first row), P. lambertiana seedlings (second row), and between P. lambertiana adults and seedlings (third row) by treatment (columns) across distance classes within plots (main panel) or across TEF (insets). Values of $r_g^h = 0$ indicate random spatial patterns of genotypes, $r_g^h > 0$ indicate clustering of alike genotypes, 467 468 469 and $r_a^h < 0$ indicate spatial inhibition of alike genotypes.

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470 (P. lambertiana adults x P. lambertiana seedlings). In all comparisons, spatial genetic structure in 471 BC treatments did not differ significantly from a random spatial distribution of genotypes (last 472 column Figure 4), perhaps due to the relatively small census sizes (Table 1) in distance-class 473 bins. However, there seems to be an effect of treatment on the spatial patterning of genotypes of 474 adults in the UC and UN stands (first row Figure 4). While UN exhibited small but significant spatial 475 genetic structure for most distance classes up to 200m, UC stands exhibited significant 476 aggregation of adult genotypes at a greater degree than UN up to 150m, where genotypes 477 became spatially inhibited up to the maximum distances in stands (200($\sqrt{2}$)m; Figure 4). These 478 patterns resulted in spatial distributions of seedling genotypes that were randomly distributed 479 except for very short distance classes in UN, and for UC seedlings, resulted in the general pattern 480 observed for UC adults albeit to a higher degree of both aggregation and inhibition (second row 481 Figure 4). Consequently, alike genotypes between adults and seedlings were aggregated up to 150m in UC, whereas this relationship in UN resulted in negative values of $r_{a,adult,seed}^{h}$ that 482 483 bordered the confidence envelope but were not significantly different from a random spatial 484 distribution of genotypes (third row of Figure 4). While the genetic structure of adults is due to the 485 interaction of the effect of treatment on pretreatment conditions, the long-term dynamics of these 486 stands will be influenced by seedling ingrowth. These results suggest that UC treatments may, in 487 the long term, increase the relatedness of individuals across short spatial scales less than 150m 488 relative to either BC or UN treatments. This will be particularly exacerbated if gene flow occurs at 489 similarly fine spatial scales (see below).

- 490 **Quantifying fine-scale gene flow**
- 491 In-plot vs. out-of-plot dispersal events

492 To understand how gene flow across plots is influenced by treatment, we quantified the 493 number of in-plot and out of plot dispersal events from pedigrees identified as



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Figure 5 Mother-offspring dispersal events by treatment for (A) dispersal between in-plot individuals, (B) dispersal into plot from an out-of-plot mother, and (C) the ratio of these values. There were no events in which a known mother dispersed seed to another plot, therefore B is utilizing information from parentage analysis that indicated the mother of a given seedling was not sampled. Orange letters within each plot show significant differences between medians, as inferred from separate Kruskal-Wallis tests (see main text of Results). Vertical lines indicate standard deviations.

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most probable from our parentage analysis. To account for sample size differences, we calculated the ratio of these values. The number of in-plot and out-of-plot dispersal events between mother and offspring differed by treatment (Figure 5A-B) but not significantly so (p > 0.4297). The ratio of these values differed by treatment (Figure 5C), with UC having the greatest proportion of inplot dispersal events but overall there were no significant differences among treatments (p =0.1926).

509 We next quantified the number of in-plot and out-of-plot dispersal events of pollen from 510 the most probable pediarees identified from parentage analysis. In these cases, out-of-plot pollen 511 dispersal events were tallied as an in-plot mother receiving pollen from an unsampled or out-of-512 plot father. The UC treatment exhibited the most in-plot pollen dispersal events, followed by UN 513 and BC (Figure 6A), though not significantly (p = 0.5073). UN and UC treatments exhibited similar 514 levels of out-of-plot dispersal events (Figure 6B), which differed (though not significantly, p =515 0.1376) from BC out-of-plot events. The ratio of in-plot vs. out-of-plot dispersal events increased 516 with increasing disturbance (Figure 6C) but did not differ significantly (p = 0.1030).

517 Median dispersal distances by treatment

518 Considering the most probable parents, we calculated the median seed dispersal 519 distances between offspring and known mothers, and between the median pollen dispersal 520 between known mothers and fathers. Median seed dispersal varied by treatment, being greatest 521 for UN and decreasing with increasing disturbance intensity (Figure 7A). Results indicated 522 significant differences between groups (p = 0.0480), with post hoc tests indicating significant 523 differences between UN and BC (H = 4.34, p = 0.0372) but not between UN and UC (H = 2.77, p 524 = 0.0959) or between UC and BC (H = 2.75, p = 0.0970; Figure 7A). Median pollen dispersal 525 varied by treatment, being greatest for BC treatments, followed by UN and UC treatments, which 526 did not differ significantly (p = 0.1381; Figure 7B).



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Figure 6 Father-mother dispersal events by treatment for (A) dispersal between in-plot individuals, (B) dispersal into plot from an out-of-plot mother, and (C) the ratio of these values. Plot-level tallies were those of in-plot mothers receiving pollen from either an in-plot father (A) or an out-of-plot (sampled or unsampled) father (B). Orange letters within each plot show significant differences between medians, as inferred from the form the form of the plot have a structure of the plot of the plot and the plot have a structure of the plot have a structure

534 Kruskal-Wallis tests (see main text of Results). Vertical lines indicate standard deviations.

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536 *Figure 7* Dispersal distances for seed (A) and pollen (B) calculated from the most probable pedigree from parentage analysis, considering only pedigrees with known mothers (A) or known parents (B). Orange letters within each plot show significant differences between medians, as inferred from Kruskal-Wallis tests for mother-offspring and father-mother dispersal distances (see main text of Results).

540

These realized distances were roughly in line with mean dispersal distances estimated from dispersal kernel shape parameters in the parentage analysis: mean seed dispersal = 65m (95% credible interval: 57-75); mean pollen dispersal = 170m (95% CI: 150-190; Figure 8).

544 To consider uncertainty in parentage assignment, we calculated weighted average 545 dispersal distances for seed and pollen dispersal. Assignments to mothers of out-of-plot adults 546 were less common than for assignments to in-plot fathers, as can be seen from the blocks 547 (replicates) within treatment of Figure 9. Using fractional parentage, we calculated weighted 548 average distances for each seed and nested these distances within treatments. We first 549 considered mother-offspring and father-mother dispersals from fractional parentage where the 550 identified adults could originate in any treatment. Distances differed significantly by treatment 551 (Figure 10A; H = 7.91, p = 0.0191) where UN and UC were significantly different (H = 8.11, p =552 0.0044) but not between any other comparison (H range = [0.0042, 0.6755], p > 0.4111). Father-553 mother distances (Figure 10B) also differed by treatment (H = 41.16, p = 1.15E-9), with median 554 dispersal distance decreasing from BC to UN to

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Figure 8 Fitted 2D-t dispersal kernel for seed (red) and pollen (black) using shape parameters inferred from parentage analysis (sensu Moran & Clark 2011). Dashed lines show the 95% credible interval. This figure is truncated at the maximum distance within plots $(200\sqrt{2m})$ to focus on differences at short distances.

559

560 UC, where all pairwise considerations were significant (*H* range = [5.21,27.18], *p* range = [1.85E-

561 07, 0.0224]).

562 Because the proximity of the treatment replicates may interact with dispersal estimates, 563 we considered dispersal distances within plot tallied within treatments using weighted distances. 564 Median values of mother-offspring in-plot distances decreased with increasing disturbance 565 (Figure 10C) and differed by treatment (H = 47.10, p = 5.91E-11), but only between UN and UC 566 (H = 4.29, p = 0.0382) and between UN and BC (H = 5.83, p = 0.0253) and not between UC and 567 BC treatments (H = 0.95, p = 0.3291). In-plot father-mother distances (Figure 10D) were 568 significantly different across treatments (H = 13.89, p = 0.0010), with BC having greater distances 569 that either UN (H = 5.83, p = 0.0157) or UC (H = 5.07, p = 0.0242), and UC exhibiting greater 570 distances than UN (H = 5.00, p = 0.0253).



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Figure 9 Fractional parentage across parentage analysis cycles for (A) maternal assignment and (B)
 paternal assignment (see Methods) with adult individuals along x-axes and seedling individuals along y axes. Each cell represents the fraction of the cycles a particular seedling was assigned to a given adult
 (black ~ 0 to red to orange to yellow to white ~1).



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Figure 10 Dispersal distances between mothers and offspring (first column) and between fathers and
 mothers (second column) using assigned adults from any location (A-B) and for only in-plot individuals (C D). Orange letters within each plot show significant differences between medians, as inferred from separate
 Kruskal-Wallis tests (see main text of Results).

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583 **Discussion**

584 Frequent fires were commonplace in historic forests of the Sierra Nevada, where forests 585 exhibited relatively lower tree densities and a higher proportion of pine species (North et al. 2005; 586 Knapp et al. 2013). Yet post-settlement fire suppression has led to forest densification that has 587 caused instability in these systems and has increased the chances of uncharacteristic high-588 severity wildfire. As a result, thinning prescriptions are used to increase the resilience of 589 constituent stands (SNFPA 2004; Agee & Skinner 2005; Schwilk et al. 2009; Safford et al. 2009). 590 While these prescriptions can mimic the density-reducing effects of fire, and reduce fire severity, 591 it is currently unknown how thinning, in isolation or through its interaction with managed fire, will 592 alter evolutionary dynamics of ecologically important species such as P. lambertiana (SNEP 593 1996). Our results suggest that spatial structure of constituent species is a result of the interaction 594 between treatment and ecology where pines are often clustered with other pines, shade-tolerant 595 trees are often clustered with other shade-tolerant trees, and pine seedlings often are inhibited 596 by both adult pine and shade-tolerant individuals. While genetic diversity statistics are informative 597 of stand-level diversity, they are less informative regarding ongoing evolutionary dynamics as a 598 result of treatment as they do little to predict inbreeding of future generations nor the scale at 599 which mating events are to occur. Used in isolation, diversity indices leave researchers to 600 speculate about ongoing processes and future outcomes, while monitoring of processes that 601 affect fitness provides more meaningful inferences which can be directly used by land managers.

From the analysis of spatial genetic structure (*sensu* Smouse & Peakall 1999), and despite spatial inhibition between adults and seedlings across treatments, our results suggest that unburned thinned stands (UC treatments) result in the increase of fine-scale similarity of adult to seedling genotypes relative to control (UN treatments) or thinned-and-burned stands (BC treatments). Parentage analysis offered additional quantification of fine-scale gene flow and suggested that effective seed and pollen dispersal within plots generally decreased and increased, respectively, with the increasing intensity of disturbance, perhaps due to an increase

in microsite suitability for *P. lambertiana* seedlings, or for adults, the availability of potential mates.
Our results were measured from individuals remaining or regenerating 13 years post-treatment,
very near the historical fire return interval for this area. Thus, ongoing dynamics should be
monitored, and will likely change through time, as stands with different treatments continue to
develop and respond to subsequent disturbances such as fire.

614

615 The genetic effects of forest management

616 With some exceptions, studies investigating the genetic consequences of forest 617 management have centered around the impact on genetic diversity indices (see Table 1 in 618 Ratnam et al. 2014). This focus is likely due to the fact that highly outcrossing tree species often 619 suffer from elevated inbreeding depression, where survival and reproduction of subsequent 620 generations may be impacted. In such cases, genetic diversity has been used as an index for 621 evolutionary potential, likely attributable to the consequences of the relative contribution of 622 additive genetic variance to phenotypic variance (i.e., narrow-sense heritability) in the breeder's 623 equation (Lynch & Walsh 1998), but the use of heritability itself as a measure of evolvability comes 624 with important caveats (e.g., see Hansen et al. 2011). Further, such diversity indices have been 625 used to assess the relative reduction of alleles due to harvest intensity, where the removal of 626 individuals from stands will likely reduce the diversity of alleles present. Here, management 627 resulting in population bottlenecks is of concern. While these premises are important to 628 investigate, the use of genetic diversity indices as the sole method for inference of management 629 impact are limiting with regard to evolutionary outcomes. If the focus is to be on management 630 impact on evolutionary potential, processes that influence evolutionary fitness should be 631 investigated instead (e.g., mating systems, effective dispersal, fecundity, spatial genetic structure, 632 pollen pool heterogeneity, juvenile survival; Lowe et al. 2015). Many traits with fitness 633 consequences in trees are of a polygenic basis (Lind *et al.* 2018), where any given underlying 634 positive-effect locus has minimal influence on the trait. In such cases, fixation (as measured by a

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635 handful of putatively neutral markers) at some of the underlying causative loci can be ameliorated by selection for combinations of alleles at other loci. Therefore, while alleles with little to no effect 636 637 on fitness are informative for demographic processes, these should not be conflated with loci 638 under selection, particularly loci under strong negative selection with important implications for 639 inbreeding depression. Such neutral markers could be better utilized in assessing consequences 640 within processes that directly affect fitness. However, in cases where spatial genetic relatedness 641 is increased as a result of management, or individuals become increasingly sparse, wasted 642 reproductive effort (e.g., embryo abortion, or high juvenile mortality) due to increased instances 643 of consanguineous or self-mating events may play an important role in ongoing population 644 dynamics (Woods & Heman 1989; Williams & Savolainen 1996; Sorensen 2001; see also 645 Kärkkäinen et al. 1999), particularly when seed rain of heterospecifics exceeds effective 646 reproductive output of historical or ecologically important species (e.g., as is the case for P. 647 lambertiana at TEF, Zald et al. 2008). Results from tree breeding outcomes also suggest that 648 inbred seeds surviving the embryonic stage will likely have reduced growth and reproductive 649 output at later stages which will also have important consequences to population growth rates 650 and competitive advantages in natural stands (see Rudolph 1981, Sorensen & Miles 1982, 651 Matheson et al. 1995, Durel 1996, Williams & Savolainen 1996, Wu et al. 1998, Petit & Hampe 652 2001, Savolainen & Pyhäjärvi 2007, Chhatre et al. 2013, Conte et al. 2017, and references 653 therein). For sugar pine in particular, we should expect high inbreeding depression as with most 654 conifers, particularly because of evidence from high diversity and low inbreeding levels found in 655 nearby populations in the Lake Tahoe Basin (Maloney et al. 2011). In addition, genetic diversity 656 will be paramount to the resistance of white pine-blister rust (Cronartium ribicola; McDonald et al. 657 2004).

658

659 Dispersal dynamics of tree species

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660 The analysis of spatial genetic structure and gene flow within and across populations of 661 trees can elucidate ongoing evolutionary dynamics, as this spatial structure is a result of selective 662 and neutral processes acting across temporal and spatial scales (Hardy & Vekemans 1999; 663 Oddou-Muratorio et al. 2004; Robledo-Arnuncio et al. 2004; Oddou-Muratorio et al. 2011). Thus, 664 quantifying dispersal and mating system is an important component in understanding such 665 patterns. There are multiple biological and ecological factors that shape dispersal dynamics and 666 resulting mating systems, such as population density, degree of fragmentation, manner of 667 pollination (e.g., anemophily, entomophily, or zoophily), relative reproductive output, phenotype 668 (such as crown shape or height), interannual climatic variation, as well as stochastic variables 669 such as wind direction and strength (Burczyk et al. 1996; Dow & Ashley 1998; Robledo-Arnuncio 670 et al. 2004, Burczyk et al. 2004; O'Connell et al. 2004). Compared with herbaceous and annual 671 plants, trees have more extensive gene flow (Hamrick et al. 1992), though such distances are 672 idiosyncratic to a given population, species, and system. For instance, estimates of pollen 673 dispersal for Pinus sylvestris varied from between 17-29m based on paternity assignment 674 (Robledo-Arnuncio et al. 2004) to 136m (Robledo-Arnuncio & Gil 2005) using the TwoGener 675 method (Smouse et al. 2001) where 4.3% of mating events came from pollen dispersed over 676 30km (Petit & Hampe 2006; Savolainen et al. 2007). Seed dispersal distances can also vary 677 idiosyncratically, particularly for winged seeds or those that are also dispersed by animals, such 678 as with P. lambertiana.

579 Spatial genetic structure will be a function of these dispersal consequences as well as 580 their ecological interaction with the environment. While much of the quantification of such 581 structure in trees has been carried out at regional or continental scales, examples exist for 582 investigations at fine spatial scales below a few hundred meters. For instance, Marquardt *et al.* 583 (2007) assessed spatial genetic structure of eastern white pine (*Pinus strobus* L.) as a function of 584 management influence at Menominee Indian Reservation in northeastern Wisconsin. While 585 spatial genetic structure within 100m differed by population, the strongest autocorrelation

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686 occurred at the least disturbed site (Marguardt et al. 2007). However, while they sampled both 687 adults and natural regeneration they did not distinguish these two groups when inferring spatial 688 genetic structure. Conversely, in Norway spruce (Picea abies L. Karst.) populations of northern 689 Italy, Scotti et al. (2008) assessed spatial genetic structure of mitochondrial (maternally inherited) 690 and chloroplast (paternally inherited) loci across both adults and saplings. While chloroplast 691 haplotypes were uncorrelated across most distance classes up to 90m for both classes, the 692 maternally inherited mitochondrial markers showed strong affinity below 30m, where this affinity 693 was greater for saplings than for adults. This pattern was seen for *P. lambertiana* individuals at 694 TEF as well, where both adults and seedlings were genetically structured at small distance 695 classes in UC treatments, though seedling genotypes were clustered to a higher degree than 696 adults (Figure 4). To our knowledge however, few instances in the literature compare both spatial 697 structure of trees with spatial genetic structure of tree genotypes. At TEF, seedlings were 698 clustered at fine spatial scales across all treatments likely due to microsite suitability (as most 699 cached seeds will likely persist only in suitable sites), but were only clustered genetically in UC 700 treatments. As such, without genotypic data, investigators may be lead to spurious conclusions 701 where it may be assumed that clustering of individuals also indicates clustering of genotypes. 702 Further, ingrowth of *P. lambertiana* in UC treatments will likely be more related to nearby 703 individuals, which may cause inbreeding and embryo abortion to a greater degree in subsequent 704 generations than in other stands at TEF.

705

706 Management implications

Our results suggest that management is affecting dispersal through the availability of suitable microsites for seedling establishment, as well as through the availability of mates. As disturbance intensity increased at TEF, mean effective seed dispersal generally decreased while effective pollen dispersal generally increased (Figure 7A-B), likely due to the proximity of suitable (e.g., unshaded) microsites and the availability of potential mates, respectfully. Using the inferred

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712 dispersal kernels (Figure 8), the vast majority of dispersal occurs across small distance classes, 713 with the estimated probability of dispersal of pollen below 150m accounting for more than 90.2% 714 of pollen dispersal events, while dispersal of seed below 50m (150m) accounts for 87.3% (99.2%) 715 of dispersal events across TEF. Such a dispersal tendency will drive spatial genetic structure and 716 will interact with environment (including management) to ultimately determine the patterns we 717 observe across the landscape. Because UC treatments generally resulted in an increased spatial 718 affinity of alike genotypes between adults and seedlings (Figure 4), short-term dynamics (decadal 719 scales) may be dominated by mating events between related individuals. However, long-term 720 dynamics will likely affect this structure as well. The strong levels of spatial genetic structure 721 observed in seedlings have been shown to decrease in adult stages because of self-thinning 722 processes in other tree species (Hamrick et al. 1993; Epperson & Alvarez-Buylla 1997; Chung et 723 al. 2003; Oddou-Muratorio et al. 2004), and may well occur at TEF as well. Even so, such 724 consequences are dependent upon initial structure that may vary to differing degrees in 725 undisturbed stands, or across the landscape. Long-term dynamics should be monitored as these 726 stands continue to develop and respond to contemporaneous ecological pressures.

727

728 Conclusion

729 Understanding how thinning and fire prescriptions intended to decrease fire severity and 730 restore ecosystem resilience influence evolutionary dynamics of historically dominant and 731 ecologically important pine species is of paramount significance. We found that treatment of fire-732 suppressed populations of *P. lambertiana* differentially affects fine-scale spatial and genetic 733 structure, and that seed and pollen dispersal increase and decrease, respectively, with 734 disturbance intensity. Such dynamics are likely to remain unequilibrated in the short term, and 735 therefore management would benefit from further monitoring of evolutionary dynamics that affect 736 fitness in these forests (e.g., reproductive output, survival of seedlings). Further monitoring across 737 broader spatial scales would also inform how these management prescriptions affect dynamics

- 738 across a greater extent of environmental heterogeneity and how these evolutionary dynamics
- vary by locality. Such information will allow management to prescribe treatments in a regionally-
- 740 and site-specific manner.

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